

**Method and Arrangement for Coding Transform Coefficients in
Picture and/or Video Coders and Decoders and a
Corresponding Computer Program and a Corresponding
Computer-readable Storage Medium**

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CROSS-REFERENCE TO RELATED APPLICATION:

This application is a continuation of copending
International Application No. PCT/EP03/04656, filed May 2,
10 2003, which designated the United States and was not
published in English.

BACKGROUND OF THE INVENTION

15 1. Field of the Invention:

The present invention describes a method and an arrangement
for coding transform coefficients in picture and/or video
coders and decoders and a corresponding computer program
and a corresponding computer-readable storage medium, which
20 can particularly be employed as a novel efficient method
for binary-arithmetic coding transform coefficients in the
field of video coding (CABAC in H.264/AVC cf. [1]).

2. Description of the Related Art:

25 In present hybrid block-based standards for video coding,
such as, for example, MPEG-2 [2], H.263 [3] and MPEG-4 [4],
the blocks of quantized transform coefficients (levels) are
mapped by a defined scan process to a vector which is coded
to code words having a variable length by using a run-
30 length coding and subsequent mapping.

In MPEG-2 [2], the code words having a variable length are
associated to two-dimensional events (RUN, LEVEL), wherein
LEVEL represents the quantized value of a (significant)
35 transform coefficient not quantized to zero; the run-length
RUN indicates the number of subsequent (non-significant)
transform coefficients quantized to zero, which, in the
vector of transform coefficients, are immediately in front

of the present significant transform coefficients. In addition, code words having a variable length are defined for the two special events EOB and ESCAPE. While the EOB event indicates that there are no further significant transform coefficients in the block, the ESCAPE event signals that the existing event (RUN, LEVEL) cannot be represented by the defined alphabet of code words having a variable length. In this case, the symbols RUN and LEVEL are coded by code words of a fixed length.

In the newer coding standards H.263 [3] and MPEG-4 [4], the association of code words having a variable length takes place on the basis of three-dimensional events (LAST, RUN, LEVEL), wherein the binary symbol LAST indicates whether the present significant transform coefficient is the last significant coefficient within the block or whether further significant transform coefficients follow. By using these three-dimensional events, no additional EOB event is required; an ESCAPE event is used in analogy to MPEG-2, wherein the binary symbol LAST is coded additionally to RUN and LEVEL.

The coding of the transform coefficients realized in MPEG-2, H.263 and MPEG-4 has the following disadvantages:

- To each coding event only a code word having an integer length can be associated, an efficient coding of events with probabilities larger than 0.5 cannot take place.
- The usage of a fixed table for mapping the coding events to the code word having a variable length for all the transform coefficients within a block does not consider symbol statistics depending on position or frequency.
- Adaptation to the actually existing symbol statistics is not possible.
- No usage is made of inter-symbol redundancies present.

Annex E of the H.263 standard specifies an optional non-adaptive arithmetic coding in which different predetermined model probability distributions are used,

- one each for the first, second and third event (LAST, RUN, LEVEL)/ESCAPE
- another one for all the following events (LAST, RUN, LEVEL)/ESCAPE of a block of transform coefficients,
- 5 - as well as one each for the symbols LAST, RUN and LEVEL, which are coded after an ESCAPE event.

For the following reasons no appreciable increase of the coding efficiency is, however, possible by this optional arithmetic coding:

- The advantage of arithmetic coding that a code word having a non-integer length can be associated to a coding event hardly has any effect on the coding efficiency by using combined events of the form (LAST, RUN, LEVEL).
- 15 - The advantage of using different probability distributions is eliminated by the fact that adaptation to the actually present symbol statistics is not possible.

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One of the first published methods for coding transform coefficients by an adaptive binary arithmetic coding in a hybrid video coder ensuring the adaptation of probabilities to the symbol statistics present has been presented in [5].

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In H.264/AVC [1], a context-adaptive method on the basis of code words having a variable length for coding transform coefficients is specified as the standard method for entropy coding. Here, the coding of a block of transform coefficients is determined by the following characteristics:

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- Both the number of the significant coefficients within a block and the number of subsequent coefficients quantized to one at the end of the vector of transform coefficients are determined by a symbol COEFF_TOKEN. Depending on the block type and the symbols COEFF_TOKEN already coded/decoded for neighboring blocks, one of five defined code word tables is chosen for coding.

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- While for the transform coefficients quantized to one at the end of the coefficient vector only a single bit is transferred for specifying the sign, the coding of the values (levels) of the remaining significant transform coefficients takes place in a reverse scan order by means of a combined prefix suffix code word.
 - If the number of significant transform coefficients is smaller than the number of transform coefficients for the corresponding block, a symbol TOTAL_ZEROS will be coded, which indicates the number of transform coefficients quantized to zero which, in the coefficient vector, are in front of the last significant coefficient. For this, 18 code word tables have been specified, which are switched depending on the number of significant coefficients and the block type.
 - The run-length of the (non-significant) coefficients quantized to zero (RUN) in front of a significant coefficient is coded for each significant transform coefficient in a reverse scan order as long as the sum of RUNs already coded is smaller than TOTAL_ZEROS. Depending on TOTAL_ZEROS and the RUNs already coded/decoded, switching between seven code word tables takes place.
- Although this so-called CAVLC method (CAVLC: context-adaptive variable length coding), by context based switching the code word tables, allows a considerably more efficient coding of the transform coefficients than the methods specified in MPEG-2, H.263 and MPEG-4, it basically has the following disadvantages:
- Switching between different code word tables takes place depending on symbols already coded/decoded, the code word tables, however, cannot be adjusted to the actual symbol statistics.
 - By using code words having a variable length, events having symbol probabilities larger than 0.5 cannot be coded efficiently. This limitation especially prevents coding symbols having a smaller value range, by means of

which a construction of suitable contexts might be possible for switching between different model probability distributions.

5 A possible solution for avoiding the disadvantages illustrated of well-known methods for coding transform coefficients in block-based picture and video coders is a combination of an adaptive arithmetic coding and a suitable context generation for using the inter-symbol redundancies.
10 Since the more complicated computing of the arithmetic coding, compared to coding by means of code words having a variable length, is a disadvantage, the possibility of an efficient hardware and software implementation must be considered particularly.

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SUMMARY OF THE INVENTION

Thus, it is the object of the present invention to provide a method and an arrangement for coding transform
20 coefficients in picture and/or video coders and decoders and a corresponding computer program and a corresponding computer-readable storage medium, which eliminate the deficiencies mentioned above and, in particular, keep the amount of calculating required for coding small.

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In accordance with a first aspect, the present invention provides a method for coding transform coefficients in picture and/or video coders and decoders, wherein for blocks of (video) pictures containing significant transform
30 coefficients, the coding of transform coefficients takes place in such a way that, for each block,
- in a scan process, the positions of significant transform coefficients in the block and subsequently,
- in a reverse scan order - starting with the last
35 significant transform coefficients within the block - the values (levels) of the significant transform coefficients
are determined and coded.

One preferred embodiment of the inventive method is characterized by each significant transform coefficient of the block other than the last transform coefficient of the
5 block being characterized by a one-bit symbol.

It is also of advantage if the sign for each significant transform coefficient is indicated by a one-bit symbol (SIGN) and the magnitude is indicated by a binary coded
10 symbol (ABS).

In one preferred embodiment of the inventive method, blocks containing significant transform coefficients are characterized by a one-bit symbol CBP4 in connection with
15 other syntax elements, such as, for example, CBP or macro block mode.

It is a special advantage of the method that, by transferring a one-bit symbol SIG for each coefficient of a block and of a one-bit symbol LAST for each significant
20 coefficient of a block, a significance mapping is coded, wherein the transfer takes place in the scan order, SIG serves for identifying significant coefficients and LAST indicates whether there are further significant transform
25 coefficients in the block.

In another preferred embodiment of the inventive method, modeling for the one-bit symbol CBP4, for coding the significance mapping and/or for coding the coefficient
30 magnitudes takes place in a context-dependent way. Thus, block types of transform coefficients having comparable statistics are summarized to block categories.

It is also of advantage that, in a special embodiment of
35 the inventive method, no significance information (SIG, LAST) is transferred for the last scan position of a block.

In a preferred embodiment of the inventive method, the magnitude (ABS) is indicated by a symbol in unary binarization or by a symbol having a prefix part and a suffix part, wherein the prefix part consists of ones and
5 the suffix part is coded in a 0th order exp-golomb code.

In accordance with a second aspect, the present invention provides an arrangement having at least one processor and/or chip formed such that method for coding transform
10 coefficients in picture and/or video coders and decoders can be performed, wherein for blocks of (video) pictures containing significant transform coefficients, the coding of transform coefficients takes place in such a way that, for each block,
15 - in a scan process, the positions of significant transform coefficients in the block and subsequently,
- in a reverse scan order - starting with the last significant transform coefficients within the block - the values (levels) of the significant transform
20 coefficients
are determined and coded.

In accordance with a third aspect, the present invention provides a computer program enabling a computer, after
25 having been loaded into the memory of the computer, to perform a method for coding transform coefficients in picture and/or video coders and decoders, wherein for blocks of (video) pictures containing significant transform coefficients, the coding of transform coefficients takes
30 place in such a way that, for each block,
- in a scan process, the positions of significant transform coefficients in the block and subsequently,
- in a reverse scan order - starting with the last significant transform coefficients within the block -
35 the values (levels) of the significant transform coefficients
are determined and coded.

Such computer programs can, for example, (for a fee or free of charge, freely accessible or protected by a password) be provided in a data or communication network for downloading. The computer programs provided in this way can
5 then be utilized by a method in which a computer program according to claim 11 is downloaded from a network for transfer, such as, for example, from the Internet, to data processing means connected to the network.

- 10 In accordance with a fourth aspect, the present invention provides a computer-readable storage medium on which a program is stored, enabling a computer, after having been loaded into the memory of the computer, to perform a method for coding transform coefficients in picture and/or video
15 coders and decoders, wherein for blocks of (video) pictures containing significant transform coefficients, the coding of transform coefficients takes place in such a way that, for each block,
- in a scan process, the positions of significant
20 transform coefficients in the block and subsequently,
 - in a reverse scan order - starting with the last significant transform coefficients within the block - the values (levels) of the significant transform coefficients
25 are determined and coded.

- The novel method for coding transform coefficients is especially characterized by the following characteristics:
- A two-dimensional block of transform coefficients is
30 mapped to a one-dimensional vector by a scan process.
 - The syntax elements of the EOB symbol, the LAST symbol or the coefficient counter (number of significant coefficients) as well as RUN (number of non-significant coefficients in the scan order) used in well-known
35 methods are replaced by a one-bit symbol CBP4 and a significance mapping.
 - The levels (magnitudes of the significant coefficients) are coded in a reverse scan order.

- Context modeling takes place in a novel manner.

BRIEF DESCRIPTION OF THE DRAWINGS

5 Preferred embodiments of the present invention will be detailed subsequently referring to the appended drawings, in which:

10 Fig. 1 is an illustration of the basic principle of coding transform coefficients according to the inventive coding method,

15 Fig. 2 shows two examples for coding the significance mapping (the yellow marked symbols are not transferred),

Fig. 3 shows binarization for the magnitudes of the transform coefficients (ABS),

20 Fig. 4 shows block types and their classification for the H.264/AVC standard,

Fig. 5 shows context modeling for the one-bit symbol CBP4, and

25 Fig. 6 shows examples of the context modeling for coding the magnitudes of the significant transform coefficients.

30 DESCRIPTION OF PREFERRED EMBODIMENTS

Figure 1 illustrates the novel coding method. For each block of transform coefficients, a one-bit symbol CBP4 is transferred at first, unless higher order syntax elements
35 (CBP or macro block mode) already indicate that the block considered does not contain any significant transform coefficients. The CBP4 symbol will be zero if there are no significant coefficients in the block. If it is one, a

significance mapping specifying the position (in scan order) of the significant transform coefficients will be coded. Subsequently, the magnitude and the signs of the significant coefficients are transferred in a reverse scan order. A detailed description of the coding process will be given below in 1. Afterwards, context modeling for the binary arithmetic coding will be described in 2.

1. Description of the coding of the transform coefficients

1.1 Scanning transform coefficients

The transform coefficients of each block are mapped to a vector by means of a scan process (such as, for example, a zig zag scan).

1.2 CBP4 symbol

CBP4 is a one-bit symbol indicating whether there are significant transform coefficients (transform coefficients unequal to zero) in a block. If the CBP4 symbol is zero, no further information for the corresponding block will be transferred.

1.3 Significance mapping

If the CBP4 symbol indicates that the corresponding block contains significant coefficients, a significance mapping will be coded. This takes place by transferring a one-bit symbol (SIG) for each coefficient in the scan order. If a corresponding significance symbol is one (significant coefficient), another one-bit symbol (LAST) will be transferred. This symbol indicates whether the present significant coefficient is the last significant coefficient within a block or whether further significant coefficients follow. Figure 2 shows two examples of the method described for coding the significance mapping. Significance information (SIG, LAST) will never be transferred for the last scan position of a block. If the transfer of the significance mapping has not already been terminated by a

LAST symbol of one, it is obvious that the coefficient at the last scan position is significant (see yellow marked position in Fig. 2).

5 **1.4 Level information**

The positions of the significant transform coefficients within a block are clearly specified by the significance mapping. The coding of the precise values of the coefficients (levels) takes place by two coding symbols:
10 ABS (magnitude of the coefficients) and SIGN (sign of the coefficients). While SIGN represents a one-bit symbol, binarization according to Figure 3 is used for coding the magnitudes of the coefficients (ABS). For coefficient magnitudes in the interval [1; 14], this binarization
15 corresponds to a unary binarization. The binarization for coefficient magnitudes larger than 14 consists of a prefix part consisting of 14 ones and a suffix part representing a 0th order exp-golomb code for the symbol (ABS-15). Binarization does not include a representation for
20 coefficient magnitudes (ABS) equaling 0, since significant coefficients (coefficients unequal to zero) will always have a magnitude (ABS) larger than or equal to one.

The binarization formed of a prefix part and a suffix part
25 consisting of a 0th order exp-golomb code for coefficient magnitudes larger than 14 has the advantage that a special non-adaptive context with symbol probabilities 0.5 can be used without sacrificing in coding efficiency for all the binary decisions of the suffix part, whereby the amount of
30 calculating for encoding and decoding can be reduced.

The levels are coded in a reverse scan order - beginning with the last significant coefficient within the block; this enables forming suitable contacts for the binary
35 arithmetic coding.

2. Context modeling

In general, different types of transform coefficient blocks are differentiated when considering a picture and/or video coding system. Thus, there are, for example, twelve types of transform coefficient blocks, having different statistics (the left column of table in Figure 4) in the present Final Draft International Standard [1] of the H.264/AVC standard. For most picture sequences and coding conditions, some of the statistics are, however, very similar. In order to keep the number of contexts used small and thus to ensure a quick adaptation to the statistic of the picture sequence to be coded, the block types, in the H.264/AVC standard, can, for example, be classified into five categories (see right column of table in Figure 4). Similar classifications are possible for other picture and/or video coding systems. For each of the- in the case of the H.264/AVC standard - five categories, an individual quantity of contexts is used for the symbols CBP4, SIG, LAST and ABS.

2.1 Context modeling for the CBP4 symbol

For coding the one-bit symbol CBP4, four different contexts are used for each individual category of transform blocks (see Figure 4). The context number for block C to be coded is determined by

$$\text{ctx_number_cbp4 (C)} = \text{CBP4 (A)} + 2 \times \text{CBP4 (B)}$$

wherein those neighboring blocks (left and top) of block C considered to be associated to the same block type are designated by A and B. Regarding the H.264/AVC standard, the following 6 block types are differentiated for this conditioning: Luma-DC, Luma-AC, Chroma-U-DC, Chroma-U-AC, Chroma-V-DC and Chroma-V-AC. If the concerning block X (A or B) of transform coefficients does not exist in a neighboring macro block (this is, for example, the case if the present block is coded in the INTRA16x16 mode, but the neighboring block has been transferred in an INTER mode), CBP4 (X) is set to zero for the neighboring block X. If a

neighboring block X (A or B) is outside the picture area or belongs to another slice, the corresponding value CBP4 (X) is replaced by a default value. A default value of one is used for INTRA-coded blocks and a default value of zero is used for INTER-coded blocks.

2.2 Context modeling for coding the significance mapping

For coding the significance mapping, $\text{max_koeff}-1$ different contexts are each used per block category (see Figure 4) for coding the symbols SIG and LAST. max_koeff thus designates the number of transform coefficients for the corresponding block category (for H.264/AVC, see Figure 4). The context number is always indicated by the corresponding scan position of the coefficient considered. The context numbers of a coefficient $\text{koeff}[i]$, which has been scanned as an i -th coefficient, thus result in:

$$\text{ctx_number_sig}(\text{koeff}[i]) = \text{ctx_number_last}(\text{koeff}[i]) = i.$$

$2 \times \text{max_koeff}-2$ contexts are used for each category of block types for coding the significance mapping.

2.3 Context modeling for coding coefficient magnitudes

The binarization illustrated in Figure 3 is used for coding the magnitudes of the significant transform coefficients. Two different context quantities are used per block category, namely one for coding the first binary decision $\text{bin} = 1$ (marked orange in Figure 3) and another one for coding the binary decisions $\text{bin} = 2..14$ (marked green in Figure 3) of the binarization. The context numbers are thus associated as follows:

$\text{ctx_number_abs_lbin}$
= (koeff with $\text{ABS} > 1$ coded ? 4 :
max(3, number of coded coefficients with $\text{ABS} = 1$)),

$\text{ctx_number_abs_rbins}$
= max(4, number of coded coefficients with $\text{ABS} > 1$)).

The magnitudes of the transform coefficients are transferred in a reverse scan order. The context for the first binary decision is determined by the number of coefficients already transferred (in reverse scan order) having a magnitude of $ABS = 1$. If more than three coefficients with a magnitude $ABS = 1$ have already been transferred, context number 3 will always be chosen. As soon as a coefficient having a magnitude $ABS > 1$ has been transferred, context 4 will be used for all the remaining significant coefficients within the block.

All binary decisions with $bin = 2..14$ are coded using one and the same context. Thus, the context number is determined by the number of coefficients already coded (in a reverse scan order) having a magnitude of $ABS > 1$, wherein the maximum context number is limited to 4. For illustration, two examples for the context selection, when coding the magnitudes ABS of the significant transform coefficients, are illustrated in Figure 6. For coding the binary decision $bin > 14$ for the coefficient magnitudes and for the signs $SIGN$, an individual non-adaptive context with the symbol probabilities $P_0 = P_1 = 0.5$ is used.

The invention, in its embodiment, is not limited to the preferred embodiments indicated hereinbefore. A number of variations making use of the inventive arrangement and of the inventive method, even if the design is completely different, are feasible.

While this invention has been described in terms of several preferred embodiments, there are alterations, permutations, and equivalents which fall within the scope of this invention. It should also be noted that there are many alternative ways of implementing the methods and compositions of the present invention. It is therefore intended that the following appended claims be interpreted as including all such alterations, permutations, and

equivalents as fall within the true spirit and scope of the present invention.

Reference List

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